

Date: 14 March 2017

Item: Management Actions Report

This paper will be considered in public

1 Summary

1.1 The purpose of this report is to inform the Audit and Assurance Committee of those recommendations to management which have not been actioned and are more than 60 days overdue.

2 Recommendation

2.1 The Committee is asked to note the report.

3 Background

3.1 When Internal Audit reports identify issues, actions to address those issues are agreed with management, with defined owners and due dates. Internal Audit follows up the management actions to ensure that they are properly addressed.

3.2 Where actions have not been addressed within 60 days after their due date the Director with responsibility for the actions is invited to attend the Committee meeting to discuss them.

3.3 A statistics report showing the numbers of open audit actions across TfL and the extent to which these are overdue is attached as Appendix 1.

3.4 There are no management actions that are more than 60 days overdue.

List of appendices to this report:

Appendix 1: Outstanding Actions Statistics

List of Background Papers:

Audit reports.

Contact: Clive Walker, Director of Internal Audit
Number: 020 3054 1879
Email: Clivewalker@tfl.gov.uk

Outstanding Actions Statistics

Directorate	Not yet due	1-30 Days	31-60 Days	61-90 Days	91+ Days
Rail and Underground	159	5	2	0	0
Surface Transport	57	15	0	0	0
Finance	70	14	2	0	0
Commercial Development	18	0	0	0	0
City Planning	0	0	0	0	0
Customers, Communication and Technology	28	1	1	0	0
General Counsel	10	1	0	0	0
HR	7	4	0	0	0
Crossrail	11	1	0	0	0
LT Museum	14	1	0	0	0